



STATE OF TENNESSEE
HEALTH RELATED BOARDS OFFICE OF INVESTIGATIONS
COMPLAINT INFORMATION AND RELEASE

COMPLAINANT/REPORTER:

Your Name: _____

Address: _____

Street

City

State

Zip

Telephone: (_____) (home) (_____) (work)

SUBJECT OF COMPLAINT/REPORT:

Practitioner's Name: _____
(first) (middle) (last) (other)

Address: _____

Street

City

State

Zip

Telephone: (_____) _____

Profession: _____ (i.e., doctor, dentist, R.N., L.P.N., etc.)

License #: _____

Social Security #: _____

Name of Patient if other than yourself _____

Address: _____

Street

City

State

Zip

Telephone: (_____) _____

Relationship of Complainant to Patient:

() Self () Parent () Son/Daughter () Legal Guardian/provide court documents
() Spouse () Brother/Sister () Friend () Other _____

NOTE: If other than patient or parent of a minor patient, please provide documentation indicating appointment of Legal Authority/Guardianship.

Nature of Complaint/Report (check all that apply) *

- | | |
|---|----------------------------------|
| () Quality of care | () Substance abuse |
| () Inappropriate prescribing | () Impairment/medical condition |
| () Excessive test or treatment | () Insurance fraud |
| () Misdiagnosis of condition | () Advertising violation |
| () Sexual abuse, harassment or contact | () Drug Diversion |
| () Failure to release patient records | () Patient abandonment/neglect |
| () Criminal conviction | () Charting irregularities |
| () Problem other than listed above _____ | () Unlicensed Practice |

Have you attempted to contact the practitioner concerning your complaint?

() Yes () No Date: _____

Would you be willing to testify if this matter goes to a formal hearing? () Yes () No



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Please list any prior and/or subsequent treating practitioners relative to your complaint (please give full name, address and telephone number).

Is there anyone other than yourself that knows about your complaint and could give us further information?

Witnesses (Please give full name, address and phone number):

Please give full details of your complaint/report; include facts, details, dates, locations, etc. (attach additional sheets if necessary). Please attach copies of medical records, correspondence, contracts, newspaper articles, and any other documents that will help support your complaint.

DID YOU REPORT THIS TO ANYONE IN THE AGENCY? (i.e., office, hospital, nursing home, etc.) _____

IF YES, TO WHOM WAS IT REPORTED? _____

WHEN WAS IT REPORTED? _____

WHAT IS YOUR RELATIONSHIP TO THIS PRACTITIONER? _____

HAS THIS MATTER BEEN REPORTED TO THE POLICE? _____

REPORT OF POSSIBLE VIOLATION

NAME OF REPORTING PERSON: _____

ADDRESS: _____
(STREET) (CITY) (STATE) ZIP E-MAIL

TELEPHONE: _____

FULL NAME OF THE PRACTITIONER: _____

WHERE IS THE PRACTITIONER EMPLOYED? _____

THE PRACTITIONER'S TITLE OR POSITION? _____
WHERE?

DETAILS OF THE COMPLAINT – ATTACH COPIES OF RELEVANT DOCUMENTS IF AVAILABLE (USE ADDITIONAL SHEETS IF NECESSARY):

(GIVE SPECIFICS INCLUDING WHAT HAPPENED, THE DATE, PLACE AND TIME OF OCCURRENCE)

LIST NAMES, ADDRESSES AND TELEPHONE NUMBERS OF OTHER PEOPLE WHO KNOW OF THIS POSSIBLE VIOLATION

I CERTIFY THAT ALL INFORMATION THAT I HAVE PROVIDED HEREIN IS TRUE AND CORRECT TO THE BEST OF MY KNOWLEDGE.

SIGNATURE

DATE

EMPLOYER'S REPORT OF POSSIBLE VIOLATION

FULL NAME OF THE PRACTITIONER BEING REPORTED _____

PRACTITIONER'S LICENSE NUMBER AND PROFESSION (e.g., MD, RN, LPN) _____ SOCIAL SECURITY # _____

LOCATION WHERE OFFENSE OCCURRED _____

DATE OR CUMULATIVE DATES OF OCCURRENCE _____

PRACTITIONER'S POSITION OR TITLE _____

PRACTITIONER'S IMMEDIATE SUPERVISOR _____

CUMULATIVE DATES OF EMPLOYMENT: FROM: _____ TO: _____

CURRENT STATUS OF EMPLOYMENT _____ NAME OF FACILITY ADMINISTRATOR _____

ADDRESS _____

TELEPHONE _____ STREET _____ CITY _____ STATE _____ ZIP _____

PLEASE PROVIDE DIRECT NUMBERS OR EXTENSIONS FAX _____ E-MAIL _____

NAME OF DIRECTOR OF NURSING (FOR NURSING COMPLAINTS) _____

NAME AND TITLE OF INDIVIDUAL FILING THIS REPORT _____

NATURE OF COMPLAINT/REPORT (CHECK ALL THAT APPLY)*

- | | |
|--|---|
| <input type="checkbox"/> QUALITY OF CARE | <input type="checkbox"/> SUBSTANCE ABUSE |
| <input type="checkbox"/> INAPPROPRIATE PRESCRIBING | <input type="checkbox"/> IMPAIRMENT/MEDICAL CONDITION |
| <input type="checkbox"/> EXCESSIVE TEST OR TREATMENT | <input type="checkbox"/> INSURANCE FRAUD |
| <input type="checkbox"/> MISDIAGNOSIS OF CONDITION | <input type="checkbox"/> DRUG DIVERSION |
| <input type="checkbox"/> SEXUAL ABUSE, HARRASSMENT OR CONTACT | <input type="checkbox"/> PATIENT ABANDONMENT/NEGLECT |
| <input type="checkbox"/> CRIMINAL CONVICTION | <input type="checkbox"/> CHARTING IRREGULARITIES |
| <input type="checkbox"/> PROBLEM OTHER THAN LISTED ABOVE _____ | <input type="checkbox"/> UNLICENSED PRACTICE |

PLEASE PROVIDE THE NAME, TITLE AND MAILING ADDRESS OF THE INDIVIDUAL TO WHOM SUBPOENAS SHOULD BE DIRECTED:

WAS A DRUG SCREEN PERFORMED? _____ TYPE OF SCREEN, (URINE, SERUM, ETC.) _____

DATE/TIME OF SPECIMEN COLLECTION _____ WAS CHAIN OF CUSTODY FOLLOWED? _____

NAME OF TESTING LAB _____

FULL NAME AND TITLE OF THE INDIVIDUAL COLLECTING THE SPECIMEN: _____

LIST THE SPECIFIC SECTION OF THE APPROPRIATE TENNESSEE PRACTICE ACT AND THE TENNESSEE BOARD REGULATIONS THAT YOU BELIEVE HAVE BEEN VIOLATED: _____

IDENTIFY BY TITLE ALL ACCOMPANYING DOCUMENTATION AND IT'S RELEVANCE (PLEASE NOTE BUT DO NOT HIGHLIGHT RELEVANT INDIVIDUAL ENTRIES):

- (1) _____
- (2) _____
- (3) _____
- (4) _____
- (5) _____
- (6) _____
- (7) _____
- (8) _____
- (9) _____
- (10) _____

(USE ADDITIONAL SHEETS AS NECESSARY)

PREVIOUS DISCIPLINARY (COUNSELING) ACTIONS:

DATE _____ NATURE OF THE OFFENSE _____ ACTION TAKEN _____

PREVIOUS DISCIPLINARY (COUNSELING) ACTIONS:

DATE _____ NATURE OF THE OFFENSE _____ ACTION TAKEN _____

WITNESS: _____

HOME ADDRESS _____ FULL NAME _____

TELEPHONE () _____ STREET _____ CITY _____ STATE _____

WITNESS: _____

HOME ADDRESS _____ FULL NAME _____

TELEPHONE () _____ STREET _____ CITY _____ STATE _____

WITNESS: _____

HOME ADDRESS _____ FULL NAME _____

TELEPHONE () _____ STREET _____ CITY _____ STATE _____

WITNESS: _____

HOME ADDRESS _____ FULL NAME _____

TELEPHONE () _____ STREET _____ CITY _____ STATE _____

WITNESS: _____

HOME ADDRESS _____ FULL NAME _____

TELEPHONE () _____ STREET _____ CITY _____ STATE _____

WITNESS: _____

HOME ADDRESS _____ FULL NAME _____

TELEPHONE () _____ STREET _____ CITY _____ STATE _____

WITNESS: _____

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Revised 11/2000